

# CREATING A STRONG DIRECT SUPPORT WORKFORCE

**POLICY BARRIERS & OPPORTUNITIES** 

**ROBERT ESPINOZA** VICE PRESIDENT OF POLICY

**SEPTEMBER 13, 2018** 

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## **About PHI**

25 years of experience and knowledge on the direct care workforce.



POLICY RESEARCH Research & policy analysis



**CONSULTING** Training, coaching & curricula design



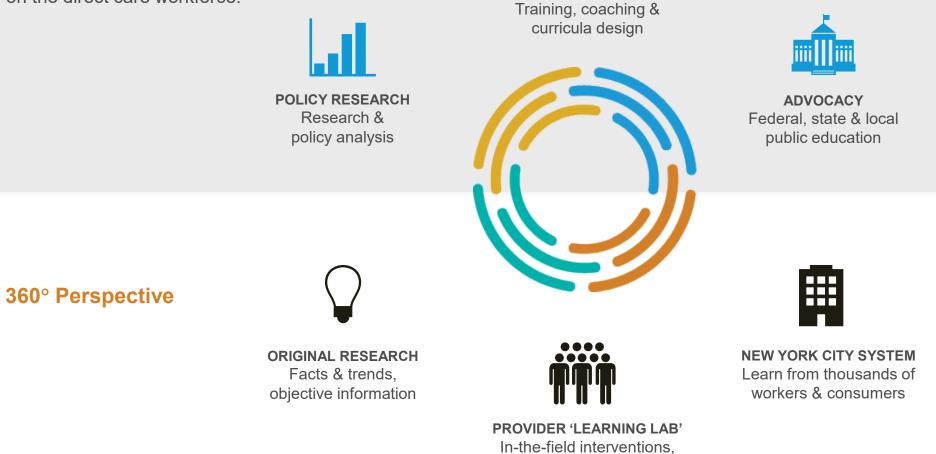




ADVOCACY Federal, state & local public education

## **About PHI**

25 years of experience and knowledge on the direct care workforce.



CONSULTING

rural & urban



#### **Our National Reach**



## 376,000

long-term care staff, direct care workers, and clients served annually by PHI's services

## 90,000

annual web users accessing PHI's publications and online data

## 24

states reached directly by PHI's services, policy research, and advocacy between 2012 and 2017









#### **Policy Expertise on Direct Support Professionals**





Making a world of difference in people's lives



#### The Arc

American Association on Intellectual and Developmental Disabilities American Network of Community Options and Resources Association for University Centers on Disabilities (AUCD) National Alliance for Direct Support Professionals National Association of Councils on Developmental Disabilities

#### **United Cerebral Palsy**

## What is a Direct Support Professional?



Assist individuals with ID/DD through a wide range of supportive services on a day-to-day basis Habilitation, health needs, personal care & hygiene, employment, transportation, recreation, housekeeping

People can live and work in their communities, as well as lead self-directed, community and social lives

## What is a Direct Support Professional?



Wide array of job titles Work in the private sector

In some states, work for state agencies that deliver services directly to people with an ID/DD

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In some states, work for state agencies that deliver services directly to people with an ID/DD

Work with children, youth, young adults, adults in midlife and older people Work in individual family homes, small group homes, the community & employment settings

## What is a Direct Support Professional? Scope of Practice



medical appointments with health care and specialty providers

Take people to

Overall health & well-being

Daily living support

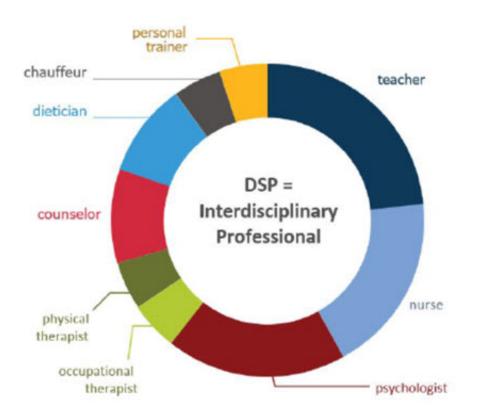
Finding and keeping jobs, and working toward their career goals

Increasingly complex & multi-disciplinary

### What is a Direct Support Professional? Scope of Practice



Figure 1. DSP Scope of Practice



Direct care workers assist older adults and people with disabilities with daily tasks, such as dressing, bathing, and eating.





PERSONAL CARE AIDES

Daily support + help with housekeeping, errands, appointments, & social engagements

1.4 million (2017)

\* 1.6 million direct care workers work in other industries such as continuing care retirement communities, assisted living facilities, residential facilities, employment services, and vocational rehabilitation services.

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PERSONAL CARE AIDES

HOME HEALTH AIDES

Daily support + help with housekeeping, errands, appointments, & social engagements

1.4 million (2017)

Daily support + clinical tasks like blood pressure readings, range-ofmotion exercises

704,000\* (2017)

\*Includes NA's providing home health care

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SOURCE: PHI (2018). For detailed citations and information about PHI's research methodology, please contact info@phinational.org.

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**INDEPENDENT** PROVIDERS

Employed directly by consumers through publicly-funded programs

#### 325,000 (2017)\*

\*These workers are likely captured in 4.3 million figure

> SOURCE: PHI (2018). For detailed citations and information about PHI's research methodology, please contact info@phinational.org.

QUALITY CARE

THROUGH

\* 1.6 million direct care workers work in other industries such as continuing care retirement communities, assisted living facilities, residential facilities, employment services, and vocational rehabilitation services.

#### **Direct Support Professionals: 1.3 million (2013)**

Direct support professionals support people with physical, intellectual, and developmental disabilities to "lead self-directed social and community lives."







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1.4 million (2017)



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#### DIRECT SUPPORT PROFESSIONALS

Daily support for people with intellectual and developmental disabilities

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SOURCE: President's Committee (2017). For detailed citations and information about PHI's research methodology, please contact info@phinational.org.

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#### **Demand for Home Care Workers**

It's the largest-growing occupation in the country.



2007 830,000 HOME CARE WORKERS 2017 2.1 million HOME CARE WORKERS

2027 3.1 million HOME CARE WORKERS

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It's the largest-growing occupation in the country.





American society has changed dramatically—more people are turning 65, living longer, wanting support at home, and rethinking 'family' norms.



**More older people** Between 2015 and 2050, the number of people aged 65+ will more than double from 47.8 million to 88 million.



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**Increased longevity** Older age brings about increased functional limitations and daily support needs.

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**Preference for home** More people prefer homeand community-based services to living in a residential setting.



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Increased longevity Older age brings about increased functional limitations and daily support needs.



**New 'family' norms** Children leave, more single & childless people, and cultural acceptance for seeking paid support.

#### An Increasingly **Diverse** Home Care Workforce

47

MEDIAN AGE

87%

60%

PEOPLE OF COLOR

WOMEN

The typical home care worker is a woman in her 40s—many are immigrants and/or women of color. **The demographics are changing.** 



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#### **Profound Disparities Within the Workforce**

From 2005 to 2015, Black/African American workers consistently made up approximately 30 percent of the direct care workforce.



Anticipated Growth in the U.S. Labor Force: 2016-2026

Women of Color +6.3 million U.S. WORKERS White Women +384,000 U.S. WORKERS

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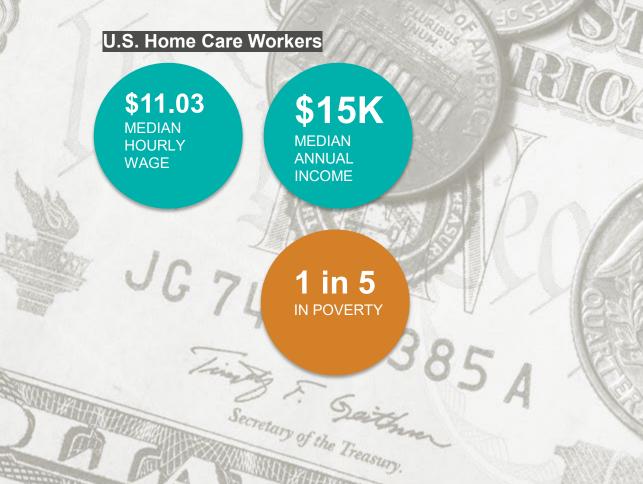


## Low Wages, High Poverty: Home Care Workers

FWE 44

Low wages and irregular schedules make it difficult to retain and recruit workers in this sector. **As a result, turnover remains high.** 





Low wages force workers to choose between housing and other needs– and drives them into poverty and poor lifelong health.

#### Monthly Income

(\$10/hour x 40 hours) x 4 weeks = \$1600 gross pay - \$400 taxes

\_\_\_\_\_

\$1200 net pay



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Monthly Expenses\* Housing Utilities Food Transportation Debt repayment, credit cards Clothing Childcare, home care Entertainment Medical expenses Miscellaneous expenses Saving

\* Dependents multiply expenses



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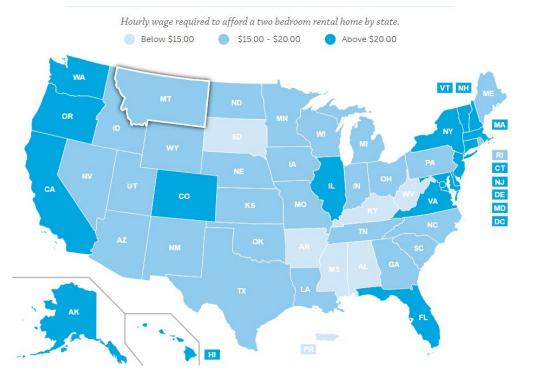
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#### How Much do you Need to Earn to Afford a Modest Apartment in Your State?



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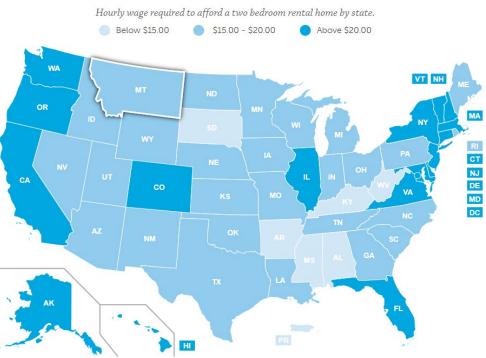
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# How Much do you Need to Earn to Afford a Modest Apartment in Your State?



## Link Between Poverty and Poor Health

- Decreased life expectancy
- Lower birth weight
- Impaired neurologic and endocrine function
- Lifelong illness (cardiac disease, diabetes, etc.)
- Higher injury rates
- Poor nutrition
- Increased hunger
- Depression
- Toxic infrastructures (lead poisoning, etc.)
- Inflammatory diseases (heart disease, etc.)
- Dental and vision disparities

\* Link goes both ways: poor health hurts employment

#### Why Are Workers Part Time?

Nearly one in three direct care workers works part time, largely for noneconomic reasons such as family obligations, school, and more.



**31%** Direct care workers are part time

# 24%

Cite Economic Reasons

# 76%

Cite Non-Economic Reasons

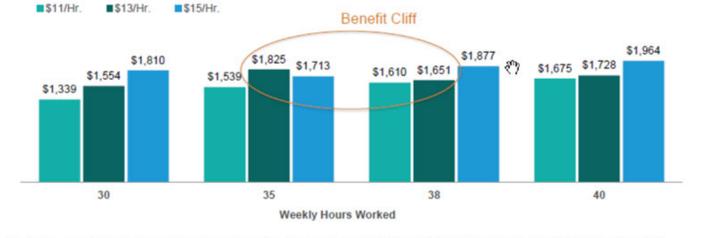
25% Family/Personal Obligations
19% School/Training
19% Retired/Social Security Limit on Earnings
8% Child Care Problems
7% Health/Medical Limitations
10% Other

#### **'Benefit Cliffs' & Low-Wage Incomes**

For low-wage workers—including direct care workers—who need public benefits, higher wages don't always lead to higher incomes.

In this example, a single home care aide earning \$13/hr has a higher total income when she works 35 hours per week than when she works 40 hours per week–a "benefit cliff."





Note: Net income includes all city, state and federal taxes and minor tax credits. Supports modeled include CDCC, CHP, CTC, EITC, HEAP, Medicaid/Essential Plan, SNAP, and WIC. Uses 2016 eligibility criteria.

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## Limited Training or Career Advancement

The training infrastructure for direct care workers doesn't equip them with the skills, knowledge, confidence, or career paths they need.



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Insufficient training standards– especially for personal care aides and DSPs Lack of specialty training– variety of topics and special populations Didactic training methods that don't account for adult learners & learning styles

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DSPs Supporting independence, informed decisions about one's own life, community living & social participation

#### **Training Standards: A State-by-State Patchwork**

Direct support professionals (and direct care workers) lack the necessary training standards and opportunities to better support people with IDD.





HOME HEALTH AIDES

Federal training requirement = 75 hours

17 states and the District of Columbia require more than the federal minimum NURSING ASSISTANTS IN NURSING HOMES

Federal training requirement = 75 hours

31 states and the District of Columbia require more than the federal minimum

> SOURCE: Cook (2017). For detailed citations and information about PHI's research methodology, please contact info@phinational.org.

#### **Training Standards: A State-by-State Patchwork**

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PERSONAL CARE AIDES

No federal requirement

18 states and the District of Columbia have their own uniform training requirements HOME HEALTH AIDES

Federal training requirement = 75 hours

17 states and the District of Columbia require more than the federal minimum



NURSING ASSISTANTS IN NURSING HOMES

Federal training requirement = 75 hours

31 states and the District of Columbia require more than the federal minimum



INDEPENDENT PROVIDERS

No federal requirement



DIRECT SUPPORT PROFESSIONALS

No federal requirement

Typically pre-service training hours focused on topics or basic skills—not competency-based or how to support people with IDD

SOURCE: Cook (2017). For detailed citations and information about PHI's research methodology, please contact info@phinational.org.

### **Core Competencies**

Proper training would ensure that direct support professionals possess skills and knowledge in these core competency areas.



#### **Direct Support Professionals: 15 Competency Areas**

- 1. Participant Empowerment
- 2. Communication
- 3. Assessment
- 4. Community & Service Networking
- 5. Facilitation of Services

SOURCE: President's Committee (2017). For detailed citations and information about PHI's research methodology, please contact info@phinational.org.

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- 6. Community Living Skills & Supports
- 7. Education, Training & Self-Development
- 8. Advocacy

9. Vocational, Educational & Career Support10. Crisis Prevention & Intervention

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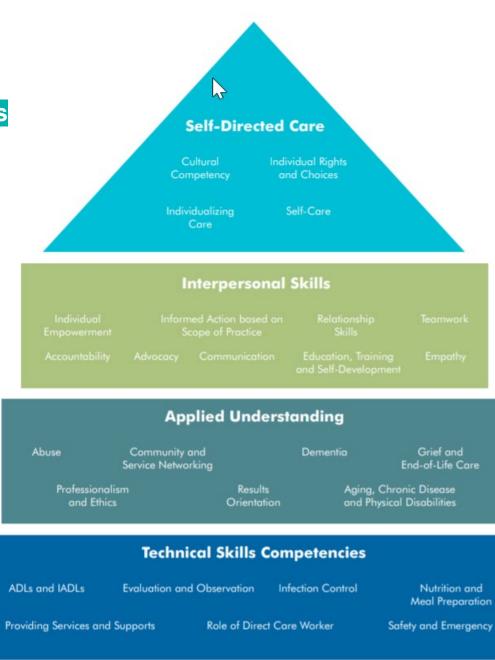
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9. Vocational, Educational & Career Support
 10. Crisis Prevention & Intervention
 11. Organizational Participation
 12. Documentation
 13. Building & Maintaining Friendships & Relationships
 14. Provide Person-Centered Supports
 15. Supporting Health & Wellness

SOURCE: President's Committee (2017). For detailed citations and information about PHI's research methodology, please contact info@phinational.org.

# Core Competencies: Personal Care Attendants





SOURCE: LeadingAge. For detailed citations
and information about PHI's research
methodology, please contact
info@phinational.org.

### Recruitment & Retention Challenges

LTSS employers are increasingly struggling to find and keep direct care workers, especially in an economy where they have other job options.



#### 60% TURNOVER RATE Top reasons: wages & supervisors

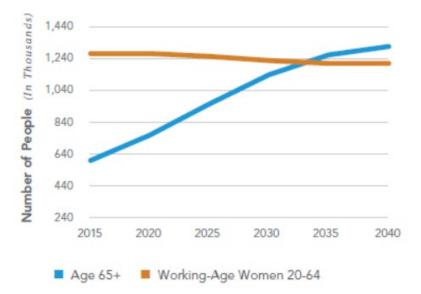
Job growth & competitionretail & fast food increasingly offer better jobs than direct care

Widening 'care gap'the growth in older adults is outpacing working-age women

> SOURCE: PHI (2018). For detailed citations and information about PHI's research methodology, please contact info@phinational.org.

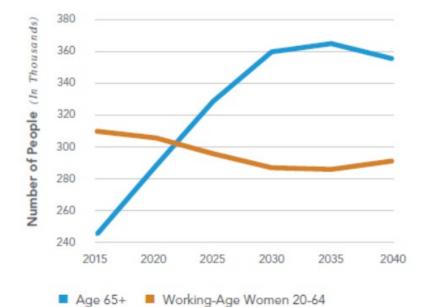


#### Non-Rural Minnesota Care Gap



**Source:** Minnesota State Demographic Center. (2014, March). Minnesota County Population Projections by Age and Gender, 2015-2045

#### Rural Minnesota Care Gap



Source: Minnesota State Demographic Center. (2014, March). Minnesota County Population Projections by Age and Gender, 2015-2045

SOURCE: PHI (2018). For detailed citations and information about PHI's research methodology, please contact info@phinational.org.

#### Limited Person-Centered Approaches

Person-centered supports-honoring the needs and preferences of the individual-haven't gained enough traction in the LTSS system.



Workers aren't always trained in personcentered approaches

Many employers don't create personcentered workplaces

Workers & consumers don't always respect each other's needs & preferences

SOURCE: PHI (2018). For detailed citations and information about PHI's research methodology, please contact info@phinational.org.

### Marginal Data on the Workforce

States rarely collect proper data on the LTSS workforce, which prevents leaders from targeting high-need areas with appropriate interventions.



No occupational code for direct support professionals and no data Little data to answer: Where are workforce needs the greatest?

> SOURCE: Espinoza (2017). For detailed citations and information about PHI's research methodology, please contact info@phinational.org.

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Minimal systematic workforce data– volume, stability & compensation

No occupational code for direct support professionals and no data Little data to answer: Where are workforce needs the greatest?

Measuring 'quality'– LTSS field has not implemented an agreed-upon definition of "quality"

> SOURCE: Espinoza (2017). For detailed citations and information about PHI's research methodology, please contact info@phinational.org.

# Inadequate Public Funding & Reimbursement

The LTSS system needs more funding to ensure everyone can access the supports they need–labor costs are especially underfunded.



Long-Term Services & Supports Expensive, difficult to predict, exhausts savings Medicaid only for poor & low-income people– and restrictions are growing

State Medicaid budgets are strapped– little funding for labor costs

Inadequate reimbursement rates in Medicaid

SOURCE: PHI (2018). For detailed citations and information about PHI's research methodology, please contact info@phinational.org.

#### **LTSS Spending**



#### The Cost Of Elder Care

Adults have been providing an increasing percentage of financial assistance to their parents in recent years. Below are the national average annual costs and daily rates paid for various types of adult care.

Туре	Average	Annual
Nursing home: semi-private room	\$214/day	\$78,110
Nursing home: private room	\$239/day	\$87,235
Assisted living	\$3,477/month	\$41,724
Home care: home health aide	\$21/hour	\$21,840
Home care: homemaker	\$19/hour	\$19,760
Adult day services		\$18,200

#### A state-by-state comparison

Source: MetLife 2011 Market Survey of Long-Term Care Costs

SOURCE: Nguyen (2017), Kaiser Family Foundation (2017). For detailed citations and information about PHI's research methodology, please contact info@phinational.org.

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Source: MetLife 2011 Market Survey of Long-Term Care Costs

# \$339 billion

**Total LTSS expenditures, 2013** 



LTSS Spending,
by Payer (2013)
43% Medicaid
22% Medicare
17% Out of pocket
7% Other public
6% Private insurance
5% Other private

SOURCE: Nguyen (2017), Kaiser Family Foundation (2017). For detailed citations and information about PHI's research methodology, please contact info@phinational.org.

# Cultural and Linguistic Incompetence

Too few LTSS providers offer services or workplace supports that are culturally and linguistically appropriate for their populations.





Persistent disparities in the workforce, in LTSS and in society at large

**'Universal' workforce solutions** don't work equally for everyone

Limited race-explicit workforce solutions– among others Lack of cultural & linguistic competence across LTSS system

> SOURCE: Espinoza (2017). For detailed citations and information about PHI's research methodology, please contact info@phinational.org.

# Limited Technology Uptake

Technology advances are transforming the LTSS field–but are they moving too quickly to prevent harm and maximize the benefits?

**Pros** Technology can improve LTSS supports, facilitate independence, enhance jobs

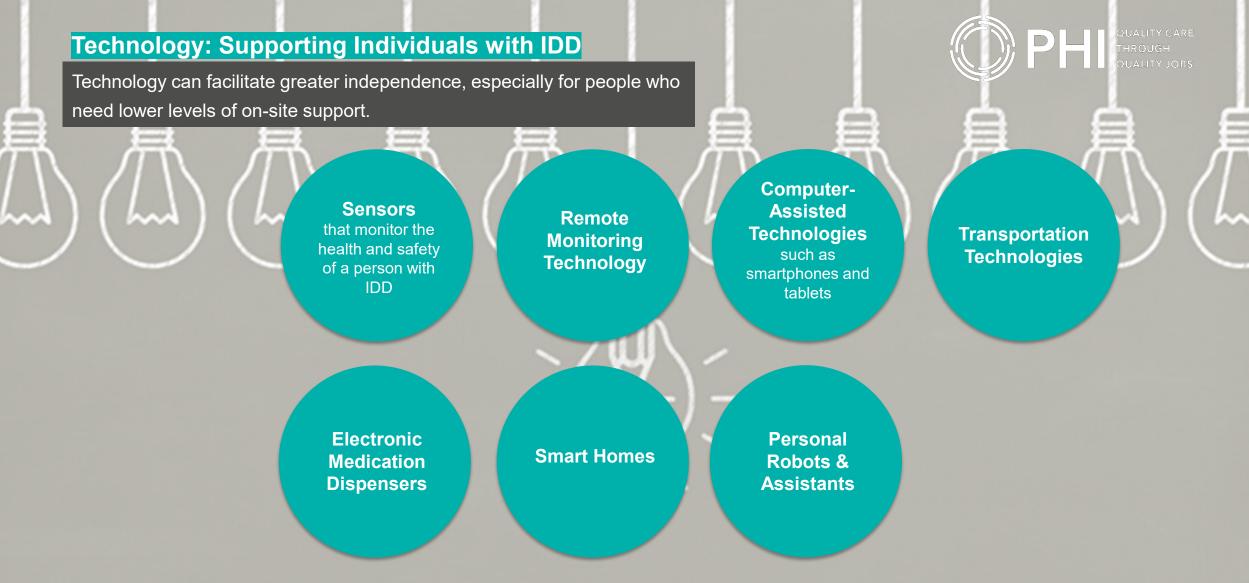
Pros Technology can maximize efficiencies and save the LTSS system money

**Cons** Technology can harm people as consumers and as workers **Cons** Technology aims to replace workers–cannot replicate empathy & compassion LTSS industry unprepared & unfunded to implement advances in technology

Technology innovators not versed in LTSS & guided by business goals

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SOURCE: AIDID et al (2017). For detailed citations and information about PHI's research methodology, please contact info@phinational.org.

# Technology: Supporting the Workforce

Technology can ensure that the workforce is properly trained, messages are widely disseminated, and clients and workers find each other.

eLearning & Virtual Training

Digital & Social Media

Eldercare & Home Care Startups Matching Services & Workforce Registries PHI QUALITY CARE THROUGH QUALITY JOBS

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Eldercare & Home Care Startups Matching Services & Workforce Registries Workers can use handheld devices to report and manage health conditions, risk factors & stressors in the home

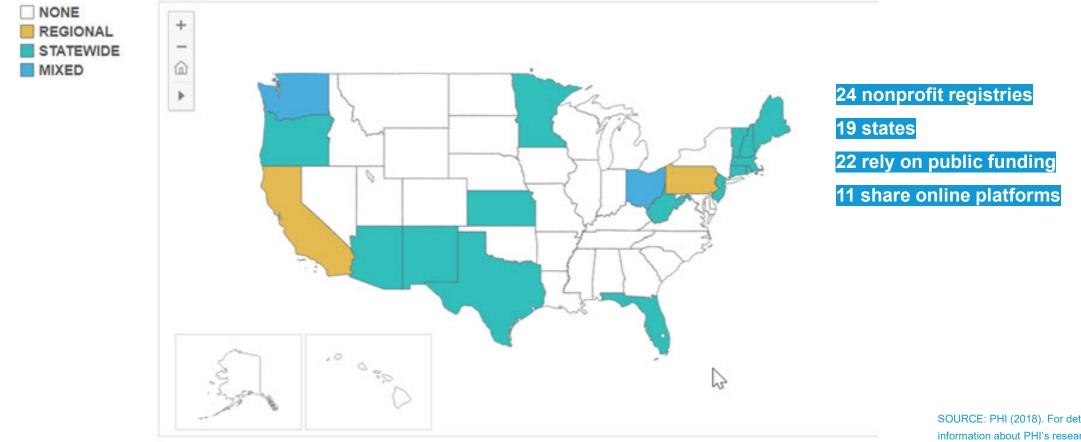
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## Matching Service Registries in the U.S.

Matching service registries gather information about the consumer's needs and preferences—and the worker's availability, skills, and preferences.





SOURCE: PHI (2018). For detailed citations and information about PHI's research methodology, please contact info@phinational.org.

# Few Stakeholder Convenings

Coalitions and task forces at the state level have led major policy reforms in LTSS, but are they insufficiently funded and too few in number?

Few resourced statewide LTSS initiatives– that gather diverse stakeholders History of LTSS state policy reforms sparked by coalitions

> SOURCE: Cook (2017). For detailed citations and information about PHI's research methodology, please contact info@phinational.org.

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#### Lack of Public Awareness and Support

Policy reform often requires a shift in public awareness and support, yet the infrastructure to educate the public remains malnourished.



Little awareness Thought leaders about LTSS become workforce invested crisis—much in LTSS workforce when personally less solutions affected Inadequate Few resourced media public education & advocacy coverage on LTSS initiatives workforce

SOURCE: Espinoza (2017). For detailed citations and information about PHI's research methodology, please contact info@phinational.org.

### What Does This Mean for Us?

Whether you're pursuing a career in medicine, health, or another profession that interfaces with people accessing LTSS, **here's what you should know**.





SOURCE: PHI (2018). For detailed citations and information about PHI's research methodology, please contact info@phinational.org.

Low wages and irregular schedules make it difficult to retain and recruit workers in this sector. As a result, turnover remains high.

- Higher wages and benefits
- Health coverage
- Paid family and medical leave



**Compensation** Higher wages and benefits, full-time hours, stable schedules



Recruitment & Retention Strategies to find and keep workers, expand labor pool



Training & Advanced Roles Training standards and opportunities, credentials



Person-Centered Workplaces Honoring the worker *and* the client as individuals



Data Collection & Quality Tracking and reporting workforce and quality data

Stakeholder

Engagement

Engage diverse

communities to find

6.

**Financing** Adequate funding and reimbursement rates to improve jobs *and* supports

QUALITY CARE

THROUGH

10.

Public Education Educate diverse communities about key problems & solutions

**Cultural Competence** Supports for immigrants, people of color, and others. 8.

**Technology** Improve jobs and supports, create efficiencies, and save money

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What Are States Doing? New York adopted a "wage parity" law that requires a minimum compensation for Medicaid-funded home health aides in certain counties.

# 1.

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QUALITY CARE

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# 9.

Stakeholder Engagement Engage diverse communities to find solutions Public Education Educate diverse

communities about key problems & solutions

The training infrastructure for direct care workers doesn't equip them with the skills, knowledge, confidence, or career paths they need.

- Training standards and opportunities
- Advanced roles
- Funding for infrastructure

# 1.

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Person-Centered Workplaces Honoring the worker *and* the client as individuals 5.

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**Cultural Competence** Supports for immigrants, people of color, and others. 8.

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Stakeholder Engagement Engage diverse communities to find solutions

The training infrastructure for direct care workers doesn't equip them with the skills, knowledge, confidence, or career paths they need.

- Training standards and opportunities
- Advanced roles
- Funding for infrastructure

What Are States Doing? Washington State pass a ballot initiative that created a new training system for personal care attendants. New York has a "Career Gear Up" credentialing model. 1.

**Compensation** Higher wages and benefits, full-time hours, stable schedules



Recruitment & Retention Strategies to find and keep workers, expand labor pool



**Cultural Competence** Supports for immigrants, people of color, and others. 2.

Training & Advanced Roles Training standards and opportunities, credentials



Technology

Improve jobs and

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Person-Centered Workplaces Honoring the worker *and* the client as individuals



Data Collection & Quality Tracking and reporting workforce and quality data



Stakeholder Engagement Engage diverse communities to find solutions



**Financing** Adequate funding and reimbursement rates to improve jobs *and* supports

QUALITY CARE

10.

Public Education Educate diverse communities about key problems & solutions



LTSS employers are increasingly struggling to find and keep direct care workers, especially in an economy where they have other job options.

- Recruitment and retention strategies
- Rural interventions
- Enhanced "business acumen"

# 1.

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Stakeholder Engagement Engage diverse communities to find solutions

LTSS employers are increasingly struggling to find and keep direct care workers, especially in an economy where they have other job options.

- Recruitment and retention strategies
- Rural interventions
- Enhanced "business acumen"

What Are States Doing? A Minnesota-based provider used social media to boost recruitment. New York is considering a fund to fuel recruitment and retention innovation in home care.



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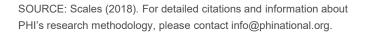
#### **Recruitment and Retention Strategies**

Developing a successful recruitment and retention strategy takes time and effort, but even a small investment pays off.

PHI

- Recruit the Right Staff
- Improve the Hiring Process
- Strengthen Entry-Level Training
- Provide Employment Supports
- Promote Peer Support
- Ensure Effective Supervision
- Develop Advancement
   Opportunities
- Invite Participation
- Recognize and Reward Staff
- Measure Progress





Person-centered supports-honoring the needs and preferences of the individual-haven't gained enough traction in the LTSS system.

- Person-centered training
- Person-centered supervision
- Self-direction



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- Self-direction

What Are States Doing? Michigan Personal and Home Care Aide State Training (PHCAST) program from 2015 emphasized personcentered approaches in its 77-hour core curriculum.



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States rarely collect proper data on the LTSS workforce, which prevent leaders from targeting high-need areas with appropriate interventions.

- Data collection systems
- New occupation code: DSP
- Data reporting



**Compensation** Higher wages and benefits, full-time hours, stable schedules



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Educate diverse communities about key problems & solutions



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- Data collection systems
- New occupation code: DSP
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What Are States Doing? PHI is working with the New York State Department of Labor to advise and improve their state data collection systems and processes.



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The entire LTSS needs more funding to ensure everyone can access the supports they need-labor costs are especially underfunded.

- More LTSS funding
- Adequate reimbursement
- Job quality improvements



Compensation Higher wages and benefits, full-time hours, stable schedules



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**Training &** Advanced Roles Training standards and opportunities, credentials



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Financing Adequate funding and reimbursement rates to improve jobs and supports

**Public Education** Educate diverse communities about key problems &

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Stakeholder Engagement Engage diverse communities to find

The entire LTSS needs more funding to ensure everyone can access the supports they need–labor costs are especially underfunded.

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- Adequate reimbursement
- Job quality improvements

What Are States Doing? Hawaii enacted a program that makes LTSS available to non-Medicaid eligible residents age 60+, allowing them to live at home or in the community.



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Stakeholder Engagement Engage diverse communities to find solutions Public Education Educate diverse communities about key problems &

solutions



Too few LTSS providers offer services that are culturally and linguistically appropriate for the broad diversity of clients and workers.

- **Culturally & linguistically** appropriate workforce supports
- **Community partnerships**
- More research



Compensation Higher wages and benefits, full-time hours, stable schedules



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Too few LTSS providers offer services that are culturally and linguistically appropriate for the broad diversity of clients and workers.

- Culturally & linguistically appropriate workforce supports
- Community partnerships
- More research

What Are States Doing? A New Mexico-based immigration organization created a culturally competent home health care training program for Latinx immigrants.



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**Financing** Adequate funding and reimbursement rates to improve jobs *and* supports

10.

Technology advances are transforming the LTSS field–but are they moving too quickly to prevent harm and maximize the benefits?

- Dedicated funding
- Public reimbursement
- Evaluations



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Technology advances are transforming the LTSS field–but are they moving too quickly to prevent harm and maximize the benefits?

- Dedicated funding
- Public reimbursement
- Evaluations

What Are States Doing? A Washington, DC home care company created an online jobs platform that helps workers obtain full-time schedules, and families find the right worker.



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Coalitions and task forces at the state level have led major policy reforms in LTSS, but are they insufficiently funded and too few in number?

- Launch state taskforces
- Needs assessments, studies
- Public-private initiatives



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- Launch state taskforces
- Needs assessments, studies
- Public-private initiatives

What Are States Doing? California, Iowa, Maine, Michigan, and New Mexico have convened workgroups to address direct care workforce challenges and issue policy recommendations.



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Stakeholder Engagement Engage diverse communities to find solutions



Policy reform often requires a shift in public awareness and support, yet the infrastructure to educate the public remains malnourished.

- Public education campaigns
- Media advocacy
- Online resources



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Policy reform often requires a shift in public awareness and support, yet the infrastructure to educate the public remains malnourished.

- Public education campaigns
- Media advocacy
- Online resources

What Are States Doing? PHI worked with three providers in Minnesota to colaunch a social media campaign that educates state residents about advanced roles in home care.



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# CREATING A STRONG DIRECT SUPPORT WORKFORCE

### **POLICY BARRIERS & OPPORTUNITIES**

**ROBERT ESPINOZA** VICE PRESIDENT OF POLICY respinoza@PHInational.org

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